# Logical Time and Global States

#### Nicola Dragoni Embedded Systems Engineering DTU Informatics

Introduction Clock, Events and Process States Logical Clocks Global States



## Why Is Time Interesting?

- Ordering of events: what happened first?
  - Storage of data in memory, file, database, ...
  - Requests for exclusive access who asked first?
  - Interactive exchanges who answered first?
  - Debugging what could have caused the fault?
- Causality is linked to temporal ordering:

if ei causes ej, it must happen before ej



### Distributed System Model

- We consider the following asynchronous distributed system:
  - ▶ N processes p<sub>i</sub>, i = 1, ..., N
  - each process executes on a single processor
  - processors do not share memory --> processes communicate only by message passing
  - Actions of a process p<sub>i</sub>: communicating actions (Send or Receive) or state transforming actions (such as changing the value of a variable)
- Event: occurrence of a single action that a process carries out as it executes



#### What Do We Know About Time?



- We **cannot** synchronize clocks *perfectly* across a distributed system
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- The sequence of events within a single process p<sub>i</sub> can be placed in a total ordering, denoted by the relation →<sub>i</sub> ("occurs before)" between the events.

 $e \rightarrow_i e'$  if and only if the event e occurs before e' at  $p_i$ 

In other words: if two events occurred at the same process  $p_i$ , then they occurred in the order in which  $p_i$  observes them.

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• Whenever a message is sent between two processes, the event of sending the message occurred before the event of receiving the message.

## Happened-Before Relation (→)

- Lamport's happened-before relation  $\rightarrow$  (or causal ordering):
  - **HB1**: If  $\exists$  process  $p_i : e \rightarrow_i e'$ , then  $e \rightarrow e'$ .
  - HB2: For any message m, send(m)  $\rightarrow$  receive(m)

**HB3**: If e, e', e" are events such that  $e \rightarrow e'$  and  $e' \rightarrow e$ " then  $e \rightarrow e$ ".

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- Thus, if e and e' are events, and if e → e', then we can find a series of events e<sub>1</sub>, e<sub>2</sub>, ..., e<sub>n</sub> occurring at one or more processes such that
  - ▶ e = e<sub>1</sub>
  - ▶ e' = e<sub>n</sub>
  - for i = 1, 2, ..., N-1 either HB1 or HB2 applies between  $e_i$  and  $e_{i+1}$ .

In other words: either they occur in succession at the same process, or there is a message m such that  $e_i = \text{send}(m)$  and  $e_{i+1} = \text{receive}(m)$ .



## [Happened Before Relation] Example



- $\mathbf{c} \rightarrow \mathbf{d}$ .
- **b**  $\rightarrow$  **c**, since these events are the sending and reception of message m<sub>1</sub>.
- $d \rightarrow f$ , similarly.
- Combining these relations, we may also say that, for example,  $a \rightarrow f$ .



## Happened-Before Relation (→)

- Note that the → relation is an IRREFLEXIVE PARTIAL ORDERING on the set of all events in the distributed system.
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- ¬(a → e) and ¬(e → a) since they occur at different processes, and there is no chain of messages intervening between them.
- We say that a and e are not ordered by  $\rightarrow$ ; a and b are concurrent (a || b).

## Logical Clocks



- Each process p<sub>i</sub> keeps its own logical clock, L<sub>i</sub>, which it uses to apply socalled Lamport timestamps to events.
- Intuition: a logical clock is a monotonically increasing software counter, which associates a value in an ordered domain with each event in a system.
- Ordering relation:  $\rightarrow$

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 Note that values of a logical clock need bear no particular relationship to any physical clock.

### Logical Clocks Rules

• To match the definition of  $\rightarrow$ , we require the following clock rules:

**CR1**: If  $\exists$  process  $p_i$  such that  $e \rightarrow_i e'$ , then  $L_i(e) < L_i(e')$ .

CR2: If *a* is the sending of a message by  $p_i$  and *b* is the receipt of the same message by  $p_j$ , then  $L_i(a) < L_j(b)$ .

CR3: If e, e', e'' are three events such that L(e) < L(e') and L(e') < L(e'') then L (e) < L(e'').

Ok, but how to do that in practice?

## Logical Clocks in Practice



- To capture the → relation, processes update their logical clocks and transmit the values of their logical clocks in messages as follows:
  - LC1:  $L_i$  is incremented before each event is issued at process  $p_i$ :  $L_i := L_i + 1$ .
  - LC2: (a) When  $p_i$  sends a msg m, it piggybacks on m the value  $t = L_i$ .
    - (b) On receiving (m, t), a process p<sub>j</sub> computes L<sub>j</sub> := max(L<sub>j</sub>, t) and then applies LC1 before timestamping the event receive(m).

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- Although we increment clocks by 1, we could have chosen any positive value.
- Clocks which follow these rules are known as LAMPORT LOGICAL CLOCKS.

$$e \rightarrow e' \Rightarrow L(e) < L(e')$$



## [Lamport Clocks] Example 1



LC1: L<sub>i</sub> is incremented *before* each event is issued at process p<sub>i</sub>: L<sub>i</sub> := L<sub>i</sub> + 1.
LC2: (a) When p<sub>i</sub> sends a msg m, it piggybacks on m the value t = L<sub>i</sub>.
(b) On receiving (m, t), a process p<sub>i</sub> computes L<sub>j</sub> := max(L<sub>j</sub>, t) and then applies LC1 before timestamping the event *receive(m)*.



## [Lamport Clocks] Example 2



LC1: L<sub>i</sub> is incremented *before* each event is issued at process p<sub>i</sub>: L<sub>i</sub> := L<sub>i</sub> + 1.
LC2: (a) When p<sub>i</sub> sends a msg m, it piggybacks on m the value t = L<sub>i</sub>.
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applies LC1 before timestamping the event receive(m).



## [Lamport Clocks] Example 3



LC1:  $L_i$  is incremented *before* each event is issued at process  $p_i$ :  $L_i := L_i + 1$ . LC2: (a) When  $p_i$  sends a msg m, it piggybacks on m the value  $t = L_i$ .

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### [Lamport Clocks] Example 4

LOCAL CLOCKS TEND TO RUN AS FAST AS THE FASTEST OF THEM



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(b) On receiving (m, t), a process p<sub>j</sub> computes L<sub>j</sub> := max(L<sub>j</sub>, t) and then applies LC1 before timestamping the event receive(m).



#### Homework





By considering a chain of zero or more messages connecting events *e* and *e'* and using induction on the length of any sequence of events relating *e* and *e'*, show that e → e' ⇒ L(e) < L(e').</li>

### Homework



DTU

- The → relation is an IRREFLEXIVE PARTIAL ORDERING on the set of all events in the distributed system.
  - Irreflexivity:  $\neg(a \rightarrow a)$ .
  - Partial ordering: not all the events can be related by  $\rightarrow$ .

Extend the definition of the  $\rightarrow$  relation to create a total ordering  $\Rightarrow$  on events

(that is, one for which all pairs of distinct events are ordered).



## Shortcoming of Lamport clocks

A significant problem with Lamport clocks is that if L(e) < L(e'), then we **cannot** infer that  $e \rightarrow e'$ .



#### Another Problematic Scenario



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The message appears to be able to cause or be caused by the event at time 5!!



### So... What Do We Need?



- This problem arises because only a single number is used to represent time.
- Idea: more info is needed to tell the receiving process what the sending process knew about the other clocks in the system when it sent the message.
- It would then become clear that the message arriving at time 6 in R was sent before the message arriving at time 5.



## Mattern and Fidge Vector Clocks

- Developed to overcome the shortcoming of Lamport clocks
- Lamport clocks:  $e \rightarrow f$  then L(e) < L(f)
- Vector clocks:  $e \rightarrow f$  iff V(e) < V(f)
- Intuition: Lamport clocks try to describe global time by a single number, which "hides" essential information.
- Idea: processes keep information on what they know about the other clocks in the system and use this information when sending a message



#### Vector Clocks

- A vector clock for a system of N processes: array of N integers.
- Each process p<sub>i</sub> keeps its own vector clock V<sub>i</sub>, which it uses to timestamp local events.



• Then V<sub>i</sub>[j] describes p<sub>i</sub>'s KNOWLEDGE of p<sub>j</sub>'s LOCAL LOGICAL CLOCK.



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- Example: if an event of p<sub>2</sub> is timestamped with (1, 1, 0) then p<sub>2</sub> knows that the value of the logical clocks are: 1 for p<sub>1</sub>, 1 for p<sub>2</sub>, 0 for p<sub>3</sub>.



## Note that...



- $V_i[j] (j \neq i)$ :
  - Latest clock value received by p<sub>i</sub> from process p<sub>j</sub>.
  - Number of events that have occurred at p<sub>i</sub> that p<sub>i</sub> has potentially been affected by.
    - Process p<sub>j</sub> may have timestamped more events by this point, but no information has flowed to p<sub>i</sub> about them in messages yet!





### [Vector Clocks] Implementation Rules

- VC1: Initially,  $V_{i[j]} := 0$ , for i, j = 1, 2, ..., N.
- VC2: Just before  $p_i$  timestamps an event, it sets  $V_i[i] := V_i[i] + 1$ .
- VC3:  $p_i$  includes the value  $t = V_i$  in every message it sends.
- VC4: When  $p_i$  receives a timestamp in a message, it sets

 $V_{i[j]} := max(V_{i[j]}, t_{i[j]})$  for j = 1, 2, ..., N

and then applies VC2 before timestamping the event receive(m).



#### [Vector Clocks] Example





## Ordering on Vectors

• For vector clocks using rules VC1-4, it follows that

Ordering relation (≤) on vectors:

$$V \leq V' \Leftrightarrow V[j] \leq V'[j]$$
 for  $j = 1, 2, ..., N$ 

- In particular:
  - $V = V' \Leftrightarrow V[j] = V'[j]$  for j = 1, 2, ..., N
  - $\blacktriangleright V < V' \Leftrightarrow V \leq V' \land V \neq V'$
  - $\blacktriangleright V \parallel V' \Leftrightarrow \neg (V < V') \land \neg (V' < V)$



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## [Vector Clocks Ordering] Example



• V(a) < V(f), reflecting the fact that  $a \rightarrow f$ .

•  $c \parallel e$  because neither  $V(c) \leq V(e)$  nor  $V(e) \leq V(c)$ .



### [Vector Clocks] Example





# [Vector Clocks] Violation of Causal Ordering

• Violation of causal ordering occurs if message M arrives with  $V_M < V_i$ .



• Here:  $V_M[1] < V_R[1]$ 



## Homework

1) Show that  $V_{i}[i] \leq V_{i}[i]$ .

2) Show that  $e \rightarrow e' \Rightarrow V(e) < V(e')$ .

3) Using the result of Exercise 1), show that if events *e* and *e'* are concurrent then neither  $V(e) \le V(e')$  nor  $V(e') \le V(e)$ . Hence show that if V(e) < V(e') then  $e \rightarrow e'$ .

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## Problem: Finding the Global State

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- Why? There are innumerable uses for this, for instance:
  - Inding the total number of files in a distributed file system, where files may be moved from one file server to another
  - finding the *total space occupied by files* in such a distributed file system



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  - finding the *total space occupied by files* in such a distributed file system
- Solution: distributed snapshot algorithm (Chandy and Lamport, 1985)





- Idea: global states are described by
  - the states of the participating PROCESSES, together with
  - the states of the CHANNELS through which data (i.e., the files) pass when being transferred between these processes.



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GLOBAL STATE: 
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GLOBAL STATE:  $\sum$  Money = £235



# [Distributed Snapshots] Assumptions

- The algorithm relies on two main assumptions:
  - Channels are ERROR-FREE and SEQUENCE PRESERVING (FIFO)
  - Channels deliver transmitted msgs after UNKNOWN BUT FINITE DELAY
- Other assumptions:
  - The only events in the system which can give rise to changes in the state are communicating events.
  - Simultaneous events are assumed not to occur, i.e., THE BEHAVIOR OF A DISTRIBUTED SYSTEM IS DESCRIBED BY A SEQUENCE WITH A TOTAL ORDERING OF ALL EVENTS.

# [Distributed Snapshots] Events

- Each event is described by 5 components: e = <p, s, s', M, c>
  - Process p goes from state s to state s'
  - Message *M* is sent or received on channel *c*

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• A *possible computation* of the system is a *sequence of possible events*, starting from the *initial global state* of the system.



- If e = <p, s, s', M, c> takes place in global state S, then the following global state is next(S, e), where:
  - 1.*p*'s state in *next(S, e)* is s'
  - 2. If *c* is directed towards *p*, then *c*'s state in *next*(S, e) is *c*'s state in S, with *M* removed from the head of the message sequence
  - 3. If *c* is directed away from *p*, then *c*'s state in *next*(S, e) is *c*'s state in S, with *M* added to the tail of the message sequence.



## Example: A Possible Computation

•  $c_{ij}$  denotes the channel which can carry messages from  $p_i$  to  $p_j$ .



• System:



### [Distributed Snapshots] The Question



Can we now find rules for when to take snapshots of the individual processes and channels so as to build up a consistent picture of the global state S?



# [Distributed Snapshots] Consistent Picture

- Let us consider the happened before relation.
- If  $e_1 \rightarrow e_2$  then  $e_1$  happened before  $e_2$  and could have caused it.
- A consistent picture of the global state is obtained if we include in our computation a set of possible events, *H*, such that

 $\mathbf{e}_i \in \mathbf{H} \land \mathbf{e}_j \rightarrow \mathbf{e}_i \Rightarrow \mathbf{e}_j \in \mathbf{H}$ 

• If e<sub>1</sub> were in *H*, but e<sub>i</sub> were not, then the set of events would include the effect of an event (for instance, the receipt of a file), but not the event causing it (the sending of the file), and an inconsistent picture would arise.



# [Distributed Snapshots] Consistent Global State

• A consistent picture of the global state is obtained if we include in our computation a set of possible events, *H*, such that

$$\mathbf{e}_i \in \mathbf{H} \land \mathbf{e}_j \rightarrow \mathbf{e}_i \Rightarrow \mathbf{e}_j \in \mathbf{H}$$

• The consistent GLOBAL STATE is then defined by

GS(H) = The state of each process p<sub>i</sub> after p<sub>i</sub>'s last event in H
+ for each channel, the sequence of msgs sent in H but not received in H.

• In the distributed systems jargon, we say that *consistent global states* are delimited by a "CUT" representing a consistent picture of the global state of the system.



### Example: A Possible Computation





## Example: Consistent Cut

• REMEMBER: The CUT limiting *H* is defined by:  $e_i \in H \land e_j \rightarrow e_i \Rightarrow e_j \in H$ 







### Example: Consistent Cut

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### Example: Inconsistent Cut

• REMEMBER: The CUT limiting *H* is defined by:  $e_i \in H \land e_j \rightarrow e_i \Rightarrow e_j \in H$ 





### How to Construct H?

- Idea: The CUT and associated (consistent) set of events, *H*, are constructed by including specific control messages (MARKERS) in the stream of ordinary messages.
- Remember that we assume that:
  - Channels are all FIFO channels.
  - A transmitted marker will be received (and dealt with) within a FINITE TIME.



# Chandy and Lamport's Algorithm to Construct H

- Process p<sub>i</sub> follows two rules.
- SEND MARKERS

Record  $p_i$ 's state Before sending any more messages from  $p_i$ , send a marker on each channel  $c_{ij}$  directed away from  $p_i$ .



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• RECEIVE MARKER

On arrival of a marker via channel c<sub>ji</sub>:

**IF** *p<sup><i>i*</sup> has not recorded its state

**THEN SEND MARKERS** rule; record c<sub>ji</sub>'s state as empty

**ELSE** record c<sub>ji</sub>'s state as the sequence of messages received on c<sub>ji</sub> since p<sub>i</sub> last noted its state.



# Chandy and Lamport's Algorithm to Construct H

- The algorithm can be initiated by any process by executing the rule SEND MARKERS.
  - Multiple processes can initiate the algorithm concurrently!
  - Each initiation needs to be distinguished by using unique markers.
  - Different initiations by a process are identified by a sequence number.
- The algorithm terminates after each process has received a marker on all of its incoming channels.
- Complexity of the algorithm: O(E) messages, where E is the number of edges in the network.



## Example: The Algorithm In Action...

The computation





### Example: The Algorithm In Action...





### Example: The Algorithm In Action...





### Example: The Algorithm In Action...





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### Example: The Algorithm In Action...

### p2 initiates the algorithm





## Example: The Algorithm In Action...

### p2 initiates the algorithm




## Example: The Algorithm In Action...

p<sub>2</sub> initiates the algorithm





## Example: The Algorithm In Action...

#### p2 initiates the algorithm





## How the Global Snapshot is Then Created?

- In a practical implementation, the recorded local snapshots must be put together to create a global snapshot of the distributed system.
- How? Several policies:
  - each process sends its local snapshot to the initiator of the algorithm
  - each process sends the information it records along all outgoing channels and each process receiving such information for the first time propagates it along its outgoing channels



### How is That Possible?





### Incomparable Events!

• The algorithm finds a global state based on a *partial ordering*  $\rightarrow$  of events.





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### Incomparable Events!

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• When we record a process' state, we are unable to know whether the events which we have already seen in this process lay before or after incomparable events in other processes.



## What Does the Algorithm Find?

- Pre-recording events: events in a computation which take place BEFORE the process in which they occur records its own state.
- Post-recording events: all other events.
- The algorithm finds a global state which corresponds to a PERMUTATION of the actual order of the events, such that all pre-recording events come before all post-recording events.
- The recorded global state, S\*, is the one which would be found after all the pre-recording events and before all the post-recording events.



### Example





## Example





## Global State Could Possibly Have Occurred!

- S\* is a state which *could possibly have occurred*, in the sense that:
  - It is possible to reach S<sup>\*</sup> via a sequence of possible events starting from the initial state of the system, S<sub>i</sub> (in the previous example: <e1, e2, e5>)
  - It is possible to reach the final state of the system, S<sub>f</sub>, via a sequence of possible events starting from S<sup>\*</sup> (in the previous example: <e<sub>3</sub>, e<sub>4</sub>, e<sub>6</sub>>)

 $seq'=\langle e_1,e_2,e_5|\,e_3,e_4,e_6
angle$ 

S\* recorded global state



# So... Why Recording Global State?

- Stable property: a property that persists, such as termination or deadlock.
- Idea: if a stable property holds in the system before the snapshot begins, it holds in the recorded global snapshot.
- A recorded global state is useful in **DETECTING STABLE PROPERTIES**.
- Examples:
  - Failure recovery: a global state (checkpoint) is periodically saved and recovery from a process failure is done by restoring the system to the last saved global state.
  - Debugging: the system is restored to a consistent global state and the execution resumes from there in a controlled manner.

## Homework





 Suppose Chandy and Lamport's distributed snapshot algorithm is initiated by process p<sub>1</sub> just after event e<sub>1</sub> in the following computation.



- Sketch how markers would be exchanged during the execution of the algorithm in this case.
  - Which events are included in the set H?
  - Which state components are noted down in the various processes, as the execution of the algorithm proceeds?
  - Which global state S\* is discovered by the algorithm in this case?